

Free Stein Irregularity and Dimension

Ian Charlesworth¹ Brent Nelson²

¹University of California, Berkeley

²Michigan State University

January 16th, 2020

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- (M, τ) a von Neumann algebra equipped with a faithful normal tracial state
- $X := (x_1, \dots, x_n) \in M^n$ such that $X^* = X$ and $M = W^*(X)$
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Free difference quotients:

$$i = 1, \dots, n$$

$$\partial_i : \mathbb{C}\langle X \rangle \rightarrow \mathbb{C}\langle X \rangle \otimes \mathbb{C}\langle X \rangle^\circ$$

$$\partial_i(x_{j_1} \cdots x_{j_d}) := \sum_{k=1}^d \delta_{i=j_k} x_{j_1} \cdots x_{j_{k-1}} \otimes x_{j_{k+1}} \cdots x_{j_d}$$

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$$\partial_1(x_1 x_2 x_1 x_2) = 1 \otimes x_2 x_1 x_2 + 0 + x_1 x_2 \otimes x_2 + 0$$

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Warning

If x_1, \dots, x_n admit algebraic relations, these need not be well-defined operators.

Non-commutative gradient:

$$\begin{aligned}\partial: \mathbb{C}\langle X \rangle &\rightarrow (\mathbb{C}\langle X \rangle \otimes \mathbb{C}\langle X \rangle^\circ)^n \\ \partial p &:= (\partial_1 p, \dots, \partial_n p)\end{aligned}$$

Non-commutative Jacobian:

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$$\mathcal{J}(X) = \begin{pmatrix} 1 \otimes 1 & & 0 \\ & \ddots & \\ 0 & & 1 \otimes 1 \end{pmatrix} =: \mathbb{1}$$

- Want to view ∂ and \mathcal{J} as a densely defined (unbounded) operators:

$$\partial: L^2(M) \rightarrow L^2(M \bar{\otimes} M^\circ)^n \quad \mathcal{J}: L^2(M)^n \rightarrow M_n(L^2(M \bar{\otimes} M^\circ))$$

with adjoints:

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Definition [Charlesworth+N., 2019]

The **free Stein irregularity** of X is the quantity

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Then

$$\Sigma^*(x)^2 \leq \|A_\epsilon - \mathbf{1}\|_2^2 = \iint |A_\epsilon(s, t) - 1|^2 d\mu(s) d\mu(t) \rightarrow \iint \mathbf{1}_{s \neq t}(s, t) d\mu(s) d\mu(t).$$

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- [Charlesworth+Shlyakhtenko; 2014+ ϵ]: $\delta^*(X) = n \Rightarrow$ no algebraic relations

Definition [Voiculescu; 1998]

If $\mathbf{1} \in \text{dom}(\mathcal{J}^*)$ then

$$\Phi^*(X) := \|\mathcal{J}^*(\mathbf{1})\|_2^2.$$

Otherwise, $\Phi^*(X) := +\infty$.

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$$\boxed{\Phi^*(X) < \infty} \implies \boxed{\Sigma^*(X) = 0} \implies \boxed{\delta^*(X) = n}$$

In particular, $\boxed{\Sigma^*(X) = 0} \implies$ no algebraic relations and ∂, \mathcal{J} are well-defined operators.

Lemma [Voiculescu, 1998]

$$\mathbb{C}\langle X \rangle \otimes \mathbb{C}\langle X \rangle \circ \text{dom}(\partial^*) < \mathbb{C}\langle X \rangle \otimes \mathbb{C}\langle X \rangle \circ L^2(M \bar{\otimes} M^\circ)^n$$

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- ② Let X be an n -tuple generating the following finite-dimensional algebra:

$$(M, \tau) = \bigoplus_{i=1}^d (M_{k_i}(\mathbb{C}), \alpha_i \frac{1}{k_i} \text{Tr}).$$

Then

$$\sigma(X) = \delta^*(X) = 1 - \sum_{i=1}^d \frac{\alpha_i^2}{k_i^2}.$$

- With $M = W^*(X)$, consider

$$\text{Der}_{1 \otimes 1}(\mathbb{C}\langle X \rangle) := \{d: \mathbb{C}\langle X \rangle \rightarrow L^2(M \bar{\otimes} M^\circ) \mid d \text{ is a derivation with } 1 \otimes 1 \in \text{dom}(d^*)\}$$

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There is a 1-1 correspondence

$$\begin{aligned} \text{Der}_{1 \otimes 1}(\mathbb{C}\langle X \rangle) &\leftrightarrow \text{dom}(\partial^*) \\ d &\mapsto (Jd(x_1), \dots, Jd(x_n)) \end{aligned}$$

which intertwines the right and left actions of $\mathbb{C}\langle X \rangle \otimes \mathbb{C}\langle X \rangle^\circ$.

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which intertwines the right and left actions of $\mathbb{C}\langle X \rangle \otimes \mathbb{C}\langle X \rangle^\circ$. Consequently,

$$\sigma(X) = \sigma(Y)$$

for any $Y \in \mathbb{C}\langle X \rangle^m$ satisfying $\mathbb{C}\langle Y \rangle = \mathbb{C}\langle X \rangle$.

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$$\text{Der}_{1 \otimes 1}(\mathbb{C}\langle X \rangle) := \{d: \mathbb{C}\langle X \rangle \rightarrow L^2(M \bar{\otimes} M^\circ) \mid d \text{ is a derivation with } 1 \otimes 1 \in \text{dom}(d^*)\}$$

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$$L^2(M \bar{\otimes} M^\circ)_{\mathbb{C}\langle X \rangle \otimes \mathbb{C}\langle X \rangle^\circ} \rightsquigarrow \text{Der}_{1 \otimes 1}(\mathbb{C}\langle X \rangle)_{\mathbb{C}\langle X \rangle \otimes \mathbb{C}\langle X \rangle^\circ}$$

Theorem [Charlesworth+N., 2019]

There is a 1-1 correspondence

$$\begin{aligned} \text{Der}_{1 \otimes 1}(\mathbb{C}\langle X \rangle) &\leftrightarrow \text{dom}(\partial^*) \\ d &\mapsto (Jd(x_1), \dots, Jd(x_n)) \end{aligned}$$

which intertwines the right and left actions of $\mathbb{C}\langle X \rangle \otimes \mathbb{C}\langle X \rangle^\circ$. Consequently,

$$\sigma(X) = \sigma(Y)$$

for any $Y \in \mathbb{C}\langle X \rangle^m$ satisfying $\mathbb{C}\langle Y \rangle = \mathbb{C}\langle X \rangle$.

Remark

First non-microstates quantity known to be an algebra invariant.

Corollary [Charlesworth+N., 2019]

For any $Y \in \mathbb{C}\langle X \rangle^m$,

$$\sigma(X, Y) = \sigma(X)$$

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Question

If $W^*(X) = R$ the hyperfinite II_1 factor, is $\sigma(X) = 1$?